



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/296,120 04/21/99 REDDIN

T 03628-0400

0232/0601

DANIEL J WARREN  
JONES & ASKEW  
2400 MONARCH TOWER  
3424 PEACHTREE ROAD N E  
ATLANTA GA 30326

NOT ASSIGNED

2761

DATE MAILED:

06/01/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1900

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 828 for 46 total claims over 20.

\$ 312 for 4 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

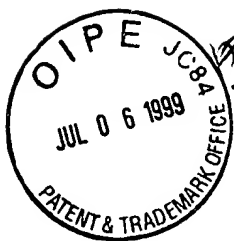
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

E. Bunn  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

07/06/1999 TL0011 00000090 09236320  
01FC:101 760.00  
02FC:102 312.00  
03FC:103 828.00  
04FC:105 130.00



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Patents

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **THOMAS J. REDDIN, ET AL.** )  
Serial No.: **09/296,120** )  
Filed: **APRIL 21, 1999** )  
For: **OCCASION BASED MARKETING** )

**TRANSMITTAL OF MISSING PARTS AND FILING FEE**

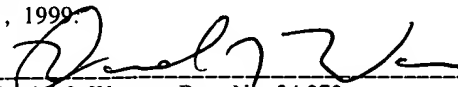
Assistant Commissioner of Patents  
Attn: Box Missing Parts  
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts mailed June 1, 1999, the undersigned attorney for applicant hereby transmits:

1. Duplicate copy of PTO Form 1533;
2. Declaration/Power of Attorney;
3. Transmittal of Assignment;
4. Assignment Form; and
5. Two checks: one check in the amount of \$2030 to cover the basic filing fee and the surcharge for the late filing of these parts and one check for \$40 to cover the recordation fee for the Assignment.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231, on July 1, 1999.

  
Daniel J. Warren- Reg. No. 34,272



Serial No. 09/296,120

It is believed that the submission of these papers and this payment completes the filing of all necessary papers and it is therefore respectfully requested that the application be passed to the appropriate examining group for action on the merits.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Daniel J. Warren".

By: Daniel J. Warren  
Reg. No. 34,272

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Our Docket: 03628-0400